

Market Data Request Form

1. Set-up platform ASAP Set up platform at a later date * If later date, you are responsible for informing ETS Dept.
2. **Type of Trader:** Professional Trader Non-professional Trader
3. **Trader Full Name (First name/last name):** _____
4. **Account Number:** _____
5. **Email Address:** _____
6. **Will the trader be using an automated trading system (including, but not limited to, an auto-spreader)?** Yes No

Exchange	Data fee	Market Data (select access desired)	Order Routing Systems (select one)
CME*	\$111.00	<input type="checkbox"/>	<input type="checkbox"/> CQG Trader
CBOT*	\$111.00	<input type="checkbox"/>	<input type="checkbox"/> CQG Q-Trader
NYMEX*	\$111.00	<input type="checkbox"/>	<input type="checkbox"/> CQG IC
COMEX*	\$111.00	<input type="checkbox"/>	<input type="checkbox"/> CQG Mobile
Dubai Mercantile Exchange, DME*	\$70.00	<input type="checkbox"/>	<input type="checkbox"/> CQG Private Label
CBOE (CFE)*	\$27.00	<input type="checkbox"/>	<input type="checkbox"/> TT Multi-Broker
Nasdaq Futures Exchange, NFX**	\$0	<input type="checkbox"/>	<input type="checkbox"/> Rithmic
New Zealand Exchange, NZX**	\$25.00	<input type="checkbox"/>	<input type="checkbox"/> PATS
London Metals Exchange, LME***	\$92.00	<input type="checkbox"/>	<input type="checkbox"/> CTS <input type="checkbox"/> CTS-ICE
ICE US	\$117.00	<input type="checkbox"/>	<input type="checkbox"/> ClearPort
ICE EU Financials	\$117.00	<input type="checkbox"/>	<input type="checkbox"/> Straits Direct- Lite Basic
ICE EU Commodities	\$117.00	<input type="checkbox"/>	<input type="checkbox"/> Straits Direct- Lite Advanced
ICE Canada	\$42.00	<input type="checkbox"/>	<input type="checkbox"/> Straits Direct- Silver Plus
ASX*	\$75.00	<input type="checkbox"/>	<input type="checkbox"/> Straits Direct- Broker Plus
BURSA MALAYSIA, BMDEX**	\$32.00	<input type="checkbox"/>	<input type="checkbox"/> Straits Direct- Gold Plus
Hong Kong Futures Exchange, HKFE	\$15.00	<input type="checkbox"/>	<input type="checkbox"/> Straits Direct-Platinum Plus
OSAKA STOCK EXCHANGE, OSE*	\$49.00	<input type="checkbox"/>	<input type="checkbox"/> Straits Direct Wireless
Singapore Exchange, SGX	\$73.00	<input type="checkbox"/>	<input type="checkbox"/> Other
Other		<input type="checkbox"/>	

NOTE: All fees above are quoted as professional rates. Select non-professional rates are outlined below. These fees are subject to change by the platform vendors without notice.

Non-professional Rates*

- CME non-professional charge is \$5.00 per exchange or \$15.00 for bundled rate. For only TOP of book orders, the charge is \$1.00 per exchange or \$3.00 bundled.
- DME non-professional is \$5.00 and for only TOP of book orders, the charge is \$1.00.
- CBOE CFE non-professional charge is \$13.00.
- ASX non-professional charge is \$12.00.
- Bursa Malaysia non-professional charge is \$6.00.
- Osaka Stock Exchange, OSE non-professional is \$29.00.

Additional Account Registration**

- NFX access requires additional Trader/Account registration (3 Day process) prior to enabling the market data and CQG route.
- BMDEX access requires additional account registration (1 Day process) prior to enabling the market data and CQG route.

Additional Account Paperwork***

Requires additional account paperwork to be completed prior to enabling market data and CQG route access. Please obtain from our New Accounts Dept at accounts@straitfinancial.com.

IB Approval: _____ Date: _____

Exhibit A to Schedule 4: Non-Professional Self-Certification Form

Based on the Subscriber's qualifications, Subscribers may be charged reduced Fees for Information. To qualify for the reduction in Subscriber Fees, Subscriber must be considered a "Non-Professional."

Non-Professional shall mean and include either (i) an individual, natural person Subscriber(s) who, or (ii) certain small business entities (limited liability companies, partnerships, trusts or corporations) that, receive and use Information (excluding any pit traded data), in each case subject to the following restrictions:

The Non-Professional Subscriber

- (a) the Subscriber must have an active futures trading account;
- (b) the Subscriber must not be a member (or hold or lease any type membership) of any exchange;
- (c) the Subscriber does not have a primary business purpose that involves trading;
- (d) the Subscriber must not be registered or qualified as a professional trader or investment adviser with any stock, commodities or futures exchange or contract market, or with any regulatory authority, professional association or recognized professional body; and
- (e) the Subscriber must not be affiliated with any entity that is or may be considered a Professional User; and

The Non-Professional Subscriber's Use of Information

- (f) the Subscriber's use of Information must be solely for the Subscriber's personal, non-business use;
- (g) the Subscriber's use of Information must be limited to managing the Subscriber's own property and, for the avoidance of doubt, not in connection with the management of any property of any third party(ies) in any capacity, whether as a principal, officer, partner, employee or agent of any business or on behalf of any other individual, and whether or not the Subscriber receives any remuneration therefor; and
- (h) the Subscriber must not be acting on behalf of an institution that engages in brokerage, banking, investment, or financial activities; and

The Non-Professional Subscriber's Access to Information

- (i) the Subscriber has no more than two (2) means for accessing Information from each Distributor; and
- (j) the Subscriber must view the Information only on a device that is capable of routing orders to the CME Globex Platform (an "Order Routing Device").

Any Subscriber who does not meet the qualifications of a Non-Professional or falls under the categories described below shall be considered a Professional. Notwithstanding anything else herein, Professionals shall include, without limitation:

- Any person or entity that provides financial or similar services to any third party.

- Any person that is registered or qualified as a professional trader or investment adviser with any stock, commodities or futures exchange or contract market, or with any regulatory authority, professional association or recognized professional body.
- Any person or entity that acts on behalf of an institution that engages in brokerage, banking, investment or financial activities.
- Any person or employee of an entity that holds any form of membership at any of the CME Group Designated Contract Markets (“DCM”) or any other exchange.

Notwithstanding anything herein, CME reserves the right in all cases to make a final determination as to whether a Subscriber is a Non Professional or a Professional. CME reserves the right to amend this policy or terminate reduced for fees for Non-Professionals at any time.

Subscribers who qualify as Non-Professionals should sign below and return this Self-Certification form to Distributor. Please note that this form may be subject to Distributor’s review and approval. Subscriber must notify Distributor as soon as is reasonably practicable in the event that Subscriber no longer qualifies as a Non-Professional.

I hereby certify that I qualify as a Non-Professional pursuant to the definition described herein:

SUBSCRIBER

Signature: _____

Name: _____

Date: _____