
Corporate Ownership Control Information Sheet

Due to newly enacted CFTC regulations, all entities attempting to open a new trading account are required to list all owners of the corporation, along with the respective percentages of ownership of each owner.

These new reporting requirements are called OCR, or, Ownership Control Reporting, which requires the FCM to report to the CFTC *on a daily basis* the ownership/control breakdown of all entity trading accounts.

Please provide the following information, and have the authorized signer(s) for the entity sign and date this ownership attestation:

*This document is only to be used when legal ownership documentation is not readily available. This document does not waive the requirement to provide Articles of Incorporation and/or Operating Agreements

Name of corporation applying for hedge trading account: _____

Owners of Corporation:

<u>Name</u>	<u>% Owned</u>
_____	_____
_____	_____
_____	_____
_____	_____

Authorized Signer(s) (per Articles of Incorporation) for the Entity:

Signature(s) _____

Print _____

Title _____

Date _____