

|  |                    |
|--|--------------------|
| <b>MANAGED ACCOUNT AUTHORIZATION / POWER OF ATTORNEY</b> | <b>(SECTION A)</b> |
| <b>AUTHORIZATION TO REMIT FUNDS</b>                      | <b>(SECTION B)</b> |
| <b>MANAGED ACCOUNT CONTROLLER STATEMENT</b>              | <b>(SECTION C)</b> |
| <b>CUSTOMER ACKNOWLEDGEMENT</b>                          | <b>(SECTION D)</b> |

**MANAGED ACCOUNT AUTHORIZATION / POWER OF ATTORNEY (SECTION A)**


The undersigned hereby appoints \_\_\_\_\_ to act as the undersigned's agent and attorney-in-fact (the "Controller") to buy, sell (including short sales and liquidations) and otherwise deal in commodity interests, as that term is defined in the Customer Agreement between the undersigned and Straits (as "Straits" is defined in the Customer Agreement), on margin or otherwise all in the Controller's sole discretion for the benefit or detriment of the undersigned's account and at the undersigned's sole.

Straits is hereby authorized to follow the instructions of the Controller concerning the undersigned's account with Straits and the Controller is hereby authorized to act for the undersigned and on the undersigned's behalf in the same manner and with the same force and effects as the undersigned might or could do with respect to such purchases, sales or trades as well as with respect to all other things necessary or incidental to the furtherance or conduct of such purchases, sales or trades.

The undersigned hereby ratifies and confirms any and all transactions heretofore or hereafter made by the Controller for the undersigned's account. This authorization and indemnity is in addition to (and in no way limits or restricts) any rights which Straits may have under the Customer Agreement or any other agreement or agreements between Straits and the undersigned. This authorization and indemnity is also a continuing one and shall remain in full force and effect until revoked by the undersigned by a written notice addressed to and actually received by Straits via e-mail to [accounts@straitfinancial.com](mailto:accounts@straitfinancial.com). Such revocation shall not affect any liability in any way resulting from transactions initiated prior to such revocation.

The undersigned acknowledges and understands that Straits is in no way responsible for any loss to the undersigned occasioned by the actions of the Controller and that Straits does not expressly or impliedly endorse the trading or operating methods of the Controller.

All account holders must sign.

|  |
|--|
| <b>Signature 1</b><br><br><br> |
|--|

Print Name

Title (if applicable)


Date

**AUTHORIZATION TO REMIT FUNDS (SECTION B)**

Customer must sign below if Customer authorizes Controller to receive fee payments from Customer's account.

The undersigned hereby authorizes Straits to remit funds from the undersigned's account upon the written presentation and demand of the undersigned's Controller. The undersigned agrees that Straits shall not be responsible for verification of the accuracy of any invoice or demand by the Controller.


Notwithstanding anything in this authorization to the contrary, Straits shall have the right to refuse payment of an invoice or other written demand for payment of the Controller for any reason including without limitation if Straits determines in its sole discretion that payment of such an invoice or compliance with such a demand would affect proper margining requirements.

|   |
|---|
| <b>Signature 1</b><br><br><br> |
|---|

Print Name

Title (if applicable)

Date

|   |
|---|
| <b>Signature 2</b><br><br><br> |
|---|

Print Name

Title (if applicable)

Date

MANAGED ACCOUNT CONTROLLER STATEMENT (SECTION C)

If Customer's account is managed by a Controller, then Customer must provide a written statement from the Controller stating that it is properly registered to act as a Controller or explaining why the Controller is not required to be registered.

Controller's Name

Email

Address

CityStateZipSocial Security # or Tax ID #

Telephone #EmployerOccupationRelationship to Customer

Controller hereby represents and warrants to Straits that Controller has reviewed the registration requirements of the Commodity Exchange Act and regulations thereunder and the National Futures Association and (a) is properly registered as needed to act as the Controller, or (b) is exempt from registration for the following reason(s) below:

- ☐ a) Controller has provided advice to 15 or fewer persons during the past 12 months and does not hold itself out to the public as a Commodity Trading Advisor.
- ☐ b) Controller is a (1) dealer, processor, broker or seller in cash market transactions or (2) non-profit, voluntary membership, general farm organization, who provides advice on the sale or purchase of commodities, and any trading advice is solely incidental to the conduct of this business.
- ☐ c) Controller is registered in another capacity and Controller's advice is solely incidental to Controller's principal business or profession.
- ☐ d) Controller is not a citizen of the United States, is located outside the United States, and only solicits or exercises discretionary trading authority over the accounts of non-United States persons.
- ☐ e) Controller is a relative of the applicant.

Managed Account Controller Signature

X

Date

CUSTOMER ACKNOWLEDGEMENT (SECTION D)

The undersigned Customer acknowledges that the Controller is not registered as a commodity trading advisor and is not required to provide Customer with a disclosure document for the reason indicated above.

All account holders must sign

Signature 1

X

Print Name

Title (if applicable)Date