CERTIFICATION OF BENEFICIAL OWNER(S)

The information contained in this Certification is sought pursuant to Section 1020.230 of Title 31 of the United States Code of Federal Regulations (31 CFR 1020.230).

All persons opening an account on behalf of a legal entity must provide the following information:

	2. First Name	3. Middle Initial	
4. Name and type of Legal Entity for Which the Account is Being	Opened		
4a. Legal Entity Address	4b. City	4c. State/Country	4d. ZIP/Postal Code
	SECTION I		
Please provide the following information for an individual(s), if any or otherwise owns 25% or more of the equity interests of the leg- complete Section II.	· · · · · · · · · · · · · · · · · · ·	× ·	derstanding, relationship, ets this definition and
5. Last Name	6. First Name	7. M.I.	8. Date of Birth
9. Address	10. City	11. State/Country	12. Zip Postal Code
13. Country	14. SSN (U.S. Persons)	15. For Non-U.S. Persons (SSN, Passport Number, or other similar identification number)	
Note: In lieu of a passport number, Non-U.S. Persons may also country of issuance of any other government-issued document e	videncing nationality or residence	and bearing a photograph or	
country of issuance of any other government-issued document e		er, an alien identification card and bearing a photograph or	
country of issuance of any other government-issued document e ADDITIONAL SECTION I	videncing nationality or residence	er, an alien identification card and bearing a photograph or R (IF REQUIRED)	similar safeguard.
country of issuance of any other government-issued document e ADDITIONAL SECTION I 16. Last Name	SECOND BENEFICIAL OWNEF	er, an alien identification card and bearing a photograph or R (IF REQUIRED) 18. M.I.	similar safeguard. 19. Date of Birth 23. Zip Postal Code rsons (SSN, Passport
country of issuance of any other government-issued document e ADDITIONAL SECTION I 16. Last Name 20. Address	- SECOND BENEFICIAL OWNEF 17. First Name 21. City	er, an alien identification card and bearing a photograph or R (IF REQUIRED) 18. M.I. 22. State/Country 26. For Non-U.S. Per	similar safeguard. 19. Date of Birth 23. Zip Postal Code rsons (SSN, Passport
country of issuance of any other government-issued document e ADDITIONAL SECTION I 16. Last Name 20. Address 24. Country	- SECOND BENEFICIAL OWNEF 17. First Name 21. City	er, an alien identification card and bearing a photograph or R (IF REQUIRED) 18. M.I. 22. State/Country 26. For Non-U.S. Per Number, or other similar in 26a. Country of issuance:	similar safeguard. 19. Date of Birth 23. Zip Postal Code rsons (SSN, Passport
country of issuance of any other government-issued document e ADDITIONAL SECTION I 16. Last Name 20. Address 24. Country	SECOND BENEFICIAL OWNEF	er, an alien identification card and bearing a photograph or R (IF REQUIRED) 18. M.I. 22. State/Country 26. For Non-U.S. Per Number, or other similar in 26a. Country of issuance:	similar safeguard. 19. Date of Birth 23. Zip Postal Code rsons (SSN, Passport

35. Country

37. For Non-U.S. Persons (SSN, Passport Number, or other similar identification number)

37a. Country of issuance:

36. SSN (U.S. Persons)

2 COMPLETE IF APPLICABLE OR REQUIRED BY STRAITS FINANCIAL LLC

ADDITIONAL SECTION I - FOURTH BENEFICIAL OWNER (IF REQUIRED)

38. Last Name	39. First Name	40. M.I.	41. Date of Birth
42. Address	43. City	44. State/Country	45. Zip Postal Code
46. Country	47. SSN (U.S. Persons)	48. For Non-U.S. Perso Number, or other similar ide	
		48a. Country of issuance:	
	SECTION II		

Please provide the following information for an individual with significant responsibility for managing or directing the entity, including, an executive offier or senior manager (e.g. Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer) or and other individual who regularly performs similar functions.

49. Last Name	50. First Name	51. M.I.	52. Date of Birth
53. Address	54. City	55. State/Country	56. Zip Postal Code
57. Country	58. SSN (U.S. Persons)	59. For Non-U.S. Persons (SSN, Pas Number, or other similar identification number	
		59a. Country of issuance:	

Note: In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguarD.

By signing below the undersigned certifies, to the best of their knowledge, that the information provided above is complete and correct.

Signature 1	Print Name	
×	Title (if applicable)	Date