

INDIVIDUAL ACCOUNT

REQUIRED PAGES TO COMPLETE & SIGN

OTHER PAGES

Page 7
RISK DISCLOSURE

RISK DISCLOSURE STATEMENT

THE BRIEF STATEMENT CAPABLE OF DISCLOSE ALL THE RISKS AND OTHER ASPECTS OF THE INVESTMENT OBJECTS.

Individual, Joint or Sole Proprietorship Account

Signature: _____ Date: _____

Print Name: _____ Title: _____

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Page 25
ACCOUNT AGREEMENT

ACCOUNT AGREEMENT

Individual and Sole Proprietorship Account

Check appropriate boxes for the type of account you are opening. Check appropriate box for an individual or Sole Proprietorship account and no other box on either in the account.

Signature: _____ Date: _____

Print Name: _____ Title: _____

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ACKNOWLEDGEDISCLOSURE

ACKNOWLEDGEMENT OF DISCLOSURES

I have read and understand the following disclosures:

1. Futures Commission Merchant (FCM)/Clearing of Interest
2. Electronic Trading and Order Routing System Disclosure Statement
3. Uniform Account Opening System to Retail Client
4. Initial Margining Average Plan System (MPS)
5. RISK DISCLOSURE
6. Disclosure of Margining For Clear Plan
7. Clear Trade System
8. Clear Trade System
9. Clear Trade System
10. Clear Trade System
11. Clear Trade System
12. Clear Trade System
13. Clear Trade System
14. Clear Trade System
15. Clear Trade System
16. Clear Trade System
17. Clear Trade System
18. Clear Trade System
19. Clear Trade System
20. Clear Trade System

Signature: _____ Date: _____

Print Name: _____ Title: _____

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ADD TNL RISK DISCLOSURE

ADDITIONAL RISK DISCLOSURE STATEMENT

Check appropriate box for the type of account you are opening. Check appropriate box for an individual or Sole Proprietorship account and no other box on either in the account.

Signature: _____ Date: _____

Print Name: _____ Title: _____

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If certain criteria is not acceptable

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ACCOUNT APPLICATION

ACCOUNT APPLICATION

Individual, Joint, and Sole Proprietorship Accounts

Signature: _____ Date: _____

Print Name: _____ Title: _____

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VA AGREEMENT

VOLUNTARY ARBITRATION AGREEMENT

Signature: _____ Date: _____

Print Name: _____ Title: _____

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INCLUDE

Copy of

Driver's License

Or

Passport

Or

Government ID

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ACH AGREEMENT

AUTHORIZATION AGREEMENT FOR DIRECT DEBITS AND CREDITS

Signature: _____ Date: _____

Print Name: _____ Title: _____

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An alternative, free method to transfer funds to / from your account

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ACCOUNT APPLICATION

ACCOUNT APPLICATION

Individual, Joint, and Sole Proprietorship Accounts

Signature: _____ Date: _____

Print Name: _____ Title: _____

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IRS FORM W-9

INTERNAL REVENUE SERVICE SUBSTITUTE FORM W-9

Signature: _____ Date: _____

Print Name: _____ Title: _____

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ID

must

display

signature

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HEDGE AGREEMENT

HEDGE REPRESENTATION LETTER

Signature: _____ Date: _____

Print Name: _____ Title: _____

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If transactions will represent a hedge against losses in physical commodities or financial portfolios